

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)**

I hereby certify that this correspondence, along with any referred to as enclosed or attached, is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 5<sup>th</sup> day of October 2004.

*Lee Brevard*  
(Lee Brevard)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**APPLICANT:**

**Vladimir Jovancicevic et al.**

**SERIAL NO.: 10/720,655**

**FILED: November 24, 2003**

**TITLE: Aluminum Carboxylate Drag  
Reducers for Hydrocarbon Emulsions**

§  
§  
§  
§  
§  
§  
§  
§  
§

**Art Unit: 1712**

**ATTY DOCKET NO: 194-30871-US**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**RESUBMISSION OF PRELIMINARY AMENDMENT  
AND REQUEST TO CORRECT FILING RECEIPT  
AND CONDITIONAL PETITION**

Dear Sir:

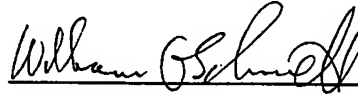
On March 31, 2004, Applicants submitted a Preliminary Amendment with a Reply to the Notice of Missing Parts. Attached is a copy of the Preliminary Amendment and return postcard showing receipt of the amendment by the Office on April 5, 2004. With the filing of the amendment, the Filing Receipt should have been corrected to show the proper benefit of provisional application number 60/477,148 filed June 9, 2003, removing the filing receipt data inconsistency. Also attached is a copy of the "updated filing receipt" mailed from the Office on April 16, 2004. Please note that the data inconsistency has not been corrected.

Applicants respectfully request entry of the preliminary amendment noted above. No new matter has been added by this amendment. The amendment is made to correct the typographical error in the originally filed application at line 7 of the specification, wherein one of the priority documents was inadvertently typed as "60/447,148" when in fact it should have read 60/477,148.

Applicants respectfully request the entry of this amendment and the issuance of a "corrected" filing receipt. No fees are required.

Should the above request be denied, the Applicants would respectfully petition to correct the priority claim in the subject application. This conditional petition is being submitted prior to 16 months from June 9, 2003, the filing date of the provisional application Serial No. 60/477,148, the application whose number was inadvertently incorrectly noted in paragraph [0001] on page 1, line 7 of the subject application as filed. Should the Commissioner deem any fees associated with this communication, the Commissioner is authorized to charge these fees to Deposit Account No. 02-0429 (194-30871-US).

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "William E. Schmidt", is written over a horizontal line.

Date: October 5, 2004

William E. Schmidt  
Registration No. 47,064  
David L. Mossman  
Registration No. 29,570  
Madan, Mossman & Sriram, P.C.  
2603 Augusta, Suite 700  
Houston, Texas 77057-5640  
Telephone: (713) 266-1130  
Facsimile: (713) 266-8510

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)**

I hereby certify that this correspondence, along with any referred to as enclosed or attached, is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Commissioner for Patents, Mail Stop: OIPE, P.O. Box 1450, Alexandria, VA 22313-1450, on this 31<sup>st</sup> day of March, 2004. *Lee Brevard*

Lee Brevard

DEC 04 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Vladimir Jevancicevic et al.

SERIAL NO.: 10/720,655

FILED: November 24, 2003

TITLE: Aluminum Carboxylate Drag  
Reducers for Hydrocarbon Emulsions

§  
§  
§  
§  
§  
§  
§  
§  
§  
§  
§

ATTY DOCKET NO: 194-30871-US

Mail Stop: OIPE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**PRELIMINARY AMENDMENT**

Dear Sir:

Applicants respectfully request the following amendment to the application be entered.

Amendments to the Specification begin on page 2 of this paper.

Remarks begin on page 3 of this paper.

**IN THE SPECIFICATION**

Please delete the paragraph beginning on page 1, at line 5, after "Cross-References to Related Applications" and insert therefor the following paragraph:

- **[0001]** This application claims the benefit of U.S. provisional application no. 60/429,711 filed November 27, 2002; U.S. provisional application no. 60/436,507 filed December 26, 2002; and U.S. provisional application no. 60/477,148 filed June 9, 2003. --

# REMARKS

Applicants respectfully request entry of the amendment noted above. No new matter has been added by this amendment. The amendment is made to correct a typographical error in the originally filed application at line 7 of the specification, wherein one of the priority documents was inadvertently typed as "60/447,148" when in fact it should have read 60/477,148.

Applicants are concurrently responding to the Notice to File Missing Parts mailed on February 23, 2004, with the combined Declaration and Power of Attorney reflecting the correct serial numbers. Applicants respectfully request the entry of this amendment and the issuance of a "corrected" filing receipt.

Respectfully submitted,

Date: March 31, 2004



William E. Schmidt  
Registration No. 47,064  
David L. Mossman  
Registration No. 29,570  
Madan, Mossman & Sriram, P.C.  
2603 Augusta, Suite 700  
Houston, Texas 77057-5640  
Telephone: (713) 266-1130  
Facsimile: (713) 266-8510



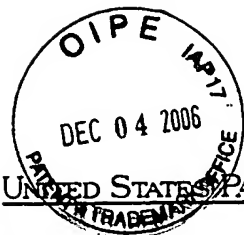
DOCKET NO.: 194-30871-45  
SERIAL NO.: 10/720,655  
DATE MAILED: 3-31-04  
DATE DUE: 4-23-04

APPLICANT: V. Jovanicevic  
TITLE: Aluminum Carbonylate  
Drag Reducer For Hydris -  
Carbon Emulsions

The Patent & Trademark Office acknowledges receipt of and has stamped hereon the date of receipt of the items checked below:

- ☐ Patent Application: ☐ UTILITY / CONTINUATION  
☐ PROVISIONAL ☐ RCE ☐ CIP  
☐ DIV ☐ PCT  
Cover Pg. ☐ Total No. of Pages ☐  
Specification ☐ pages Claims ☐ pages  
Abstract ☐ pages  
☐ Fee Transmittal No. SB/17  
(Charge Deposit Acct: ☐  
☐ Utility Application Transmittal No. SB/16  
☐ Provisional Application Transmittal No. SB/16  
(Charge Deposit Acct: ☐  
☐ Sheets of Drawing(s) Formal ☐ Informal  
☐ Trademark/Service Mark Application ( ☐ no. of pages)  
ITU ☐ Use-Based ☐  
☐ Statement of Use  
☐ Request for Extension of Statement of Use  
☐ Specimens ☐  
☐ Drawing Sheet  
☒ Amendment ☒ Preliminary ☐ After NOA ☐ After Final  
☐ Appeal Notice  
☐ Assignment and Recordation Cover Sheet  
☒ Declaration & Power of Attorney  
☐ Information Disclosure Stmt-Form 1449 (w/ ☐ references)  
☐ Supp. Information Disclosure Stmt-Form 1449 (w/ ☐ refs.)  
☐ Issue Fee - Base/Balance ☐ Fee for Advance Copy(ies)  
☐ Change of Correspondence Address  
☐ Petition for ☐  
☐ Petition for Extension of Time  
☐ Power of Atty ☐ Original ☐ Substitute ☐ Associate  
☒ Response to Notice to File Missing Part  
☒ Transmittal Form No. SB/21  
☐ Check No. ☐ in the amount of \$ ☐  
☒ Other: 1) copy of 2/23/04 Notice;  
2) Return Postcard

Express Mail No. ☐



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/720,655	11/24/2003	1712	2106	194-30871-US	3	44	12

24923  
PAUL S MADAN  
MADAN, MOSSMAN & SRIRAM, PC  
2603 AUGUSTA, SUITE 700  
HOUSTON, TX 77057-1130

CONFIRMATION NO. 4645  
UPDATED FILING RECEIPT  
  
\*OC000000012376849\*

Date Mailed: 04/16/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Vladimir Jovancicevic, Richmond, TX;  
Samuel Campbell, Richmond, TX;  
Sunder Ramachandran, Sugar Land, TX;  
Paul Hammonds, Katy, TX;  
Steven J. Weghorn, Missouri City, TX;

**Domestic Priority data as claimed by applicant**

This appln claims benefit of 60/429,711 11/27/2002  
and claims benefit of 60/436,507 12/26/2002  
and claims benefit of 60/447,148 02/13/2003 \*  
(\*Data provided by applicant is not consistent with PTO records.

**Foreign Applications**

If Required, Foreign Filing License Granted: 02/23/2004

Projected Publication Date: 07/22/2004

Non-Publication Request: No

Early Publication Request: No

**Title**

Aluminum carboxylate drag reducers for hydrocarbon emulsions

**Preliminary Class**

507

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+); and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).





DOCKET NO.: 194-30871-US  
SERIAL NO.: 10 / 720,655  
DATE MAILED: 10-5-2004  
DATE DUE: 10-9-2004  
APPLICANT: V. Jovanicevic et al.  
TITLE: Aluminum Carboxylate  
Drag Reducers for  
Hydrocarbon Emulsions

The Patent & Trademark Office acknowledges receipt of and has stamped hereon the date of receipt of the items listed below:

- 1) Resubmission of Preliminary Amendment and Request to Correct Filing Receipt and Conditional Petition
- 2) Copy of Preliminary Amendment (originally filed 3/31/2004);
- 3) Copy of Return Postcard confirming receipt by USPTO on 4/05/2004;
- 4) Copy of the "Updated Filing Receipt" mailed 4/16/2004; and
- 5) Return Postcard.

Express Mail Label